



Hong Kong Individual Investor terms and conditions

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The Manager builds its business on trust between you and itself. To preserve the confidentiality of all personal data you provide, the Manager maintains the following privacy principles:

1. **Transparency:** The Manager will be clear and transparent as to how it collects and uses data, including providing data subjects (including personal customers, corporate customers, representatives and non-affiliated third parties (such as vendors and their representatives)) with a statement of how it may use their data where required.
2. **Fair and lawful usage:** The Manager will only collect, process and store data lawfully and where it has a legitimate reason to do so.
3. **Limited purposes:** The Manager will collect and process data for specified and lawful purposes, and will not use it for further, incompatible purposes without first taking all steps necessary under applicable data privacy laws and regulations.
4. **Data minimisation and adequacy:** The Manager will ensure its collection, retention and processing of data is proportionate. The Manager will strike an appropriate balance to ensure that it processes sufficient data to carry on its business and achieve any specified lawful purposes, while making sure that it does not collect, retain or process excessive amounts of data.
5. **Data quality and accuracy:** The Manager will maintain appropriate standards of data quality and integrity, and will implement policies in respect of data accuracy, including taking steps where appropriate to avoid data becoming out of date.
6. **Data security and retention:** The Manager will retain data securely, implement appropriate data retention policies, and will dispose data securely once it is no longer required. The Manager will ensure that appropriate processes are put in place so only those acting on its behalf with a business requirement to access such data are authorised and able to do so.



7. Training and awareness: The Manager will ensure that those acting on its behalf with access to data are trained appropriately on their obligations regarding those data.
8. Data subject rights: The Manager will ensure that data subjects' rights are observed in accordance with applicable data privacy laws and regulations, including any timeline established thereby.
9. Third parties: Where the Manager appoints a vendor or agent, it will require them to apply standards equivalent to these Data Privacy Principles. The Manager will only disclose data to governmental or judicial bodies or law enforcement or agencies or regulators where this is allowed by applicable Data Privacy Laws and Regulations, or otherwise required by applicable laws and regulations.
10. Data transfers: Where the Manager voluntarily transfers data to another HSBC Group entity, third party or to another jurisdiction, the Manager will ensure that the data transfer is lawful and that the recipient is required to apply the same, or equivalent, standards as these Data Privacy Principles.

By maintaining commitment to these principles, the Manager will ensure that it respects the inherent trust that you place in it.

In addition to the Manager's duty of confidentiality to you, it shall at all times observe the above privacy principles and the Personal Data (Privacy) Ordinance ("the Ordinance") of the Hong Kong SAR in collecting, maintaining and using the personal data of customers in accordance with the [Notice to Investors, Distributors, Discretionary Mandate Clients, Participating Dealers \(collectively "Clients"\) and other Individuals relating to the Personal Data \(Privacy\) Ordinance \(the "Ordinance"\)](#) (the "Notice").



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Governing Law

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Contact Us

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Request for access to personal data or correction of personal data or for information regarding policies and practices on personal data and kinds of personal data held should be addressed to:

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